

## **Corporate Enforcement Authority**

**Candidate Information Booklet** 

#### **Corporate Enforcement Authority**

The Corporate Enforcement Authority ("**CEA**"), which will be Ireland's company law enforcement agency, is to be established shortly in accordance with the provisions of the Companies (Corporate Enforcement Authority) Act 2021 ("**the CEA Act**"). The CEA Act, which amends the Companies Act 2014, can be accessed at <a href="https://www.irishstatutebook.ie/eli/2021/act/48/enacted/en/pdf">https://www.irishstatutebook.ie/eli/2021/act/48/enacted/en/pdf</a>.

The CEA's functions, as currently proposed, will include the:

- encouragement of compliance with the Companies Acts;
- investigation of instances of suspected offences under the Companies Acts;
- enforcement of the Companies Acts, including the prosecution of offences by way of summary proceedings;
- referral of cases, as appropriate, to the Director of Public Prosecutions for consideration as to whether they should be prosecuted on indictment; and
- exercise, insofar as considered necessary or appropriate, of a supervisory role over the activity of liquidators and receivers in the discharge of their functions under the Companies Acts.

#### Location

Subject to current Government health restrictions, the post holder will be based in the CEA's offices in Dublin. Consideration may be given to blended working options, subject however to both organisational requirements and Government Policy.

#### **Role of the Director of Insolvency Supervision**

This is a senior management level role, reporting to a Member of the CEA. As such, the successful candidate must be capable of demonstrating both an appreciation for the pivotal nature of his/her role and functions within the organisation and the capability to operate effectively at this level of seniority.

The responsibilities associated with this complex and demanding role are wide and varied. The successful candidate will be presented with an unparalleled opportunity to contribute to the establishment and development of a modern law enforcement agency.

## **Principal Responsibilities**

#### Principal statutory provisions of relevance

The principal statutory provisions relating to the CEA's insolvency supervisory remit are to be found in Part 11 (Chapters 8, 12 and 16) and Part 14 (Chapters 3-6) of the Companies Act 2014 (as amended by the CEA Act).

#### Principal associated workstreams

The principal workstreams that flow from the foregoing statutory provisions include:

- ensuring the timely receipt of statutory reports from liquidators of insolvent companies as well as associated information from other relevant sources;
- the analysis of liquidators' reports, and associated relevant information, and issuing supplementary requests for information as and when considered necessary;
- determining, having regard to all relevant information, whether relief should be granted to liquidators;
- administering, in respect of suitable cases, the regime of statutory undertakings provided for under the Companies Act 2014 (as amended);
- identifying facts and circumstances arising from the foregoing that warrant referral internally within the CEA (e.g., matters potentially warranting investigation by the Civil and/or Criminal Enforcement Directorates, matters relevant to the CEA's advocacy remit etc.);
- managing a disqualification programme *vis-à-vis* the directors of insolvent companies that have been struck off the register of companies (s842(h) of the Companies Act 2014 refers);
- supervising liquidators in the proper discharge of their statutory functions and taking appropriate action where that is not the case; and
- developing and managing relationships with relevant external stakeholders.

#### Principal responsibilities

The following is a non-exhaustive overview of the principal responsibilities associated with the position:

- leading on, and managing, all aspects of the Insolvency Directorate's operations, including ensuring:
  - compliance with statutory timeframes for the submission, examination, and making determinations on, liquidators' reports;

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- o the effective operation of the statutory undertakings regime;
- the effective operation of an enforcement mechanism for dealing with company directors who allow companies to be struck off while having outstanding debts;
- an effective system for the identification of cases that should be referred to the Authority's Civil or Criminal Enforcement Directorates;
- the effective monitoring of liquidator performance and the development of appropriate responses when issues of concern are identified;
- the effective monitoring, and ongoing review, of policies, procedures and quality standards within the Directorate;
- the implementation of appropriate risk management strategies and policies within the Directorate;
- high quality contributions to the monitoring and development of policy and legislation governing corporate insolvency matters;
- the effective representation of the CEA and liaison with national and international bodies in respect of insolvency related matters;
- providing Members of the Authority with the requisite assurance as to the timely and effective discharge of the Directorate's responsibilities to the standard expected of a professional organisation;
- leading and managing staff as required and contributing to staff training and ongoing development;
- identifying new and emerging themes and identifying associated opportunities consistent with the CEA's statutory mandate;
- critically assessing the Directorate's information management processes and procedures and identifying necessary/desirable improvements and enhancements;
- developing effective working relationships with other Authority Directorates with which the Insolvency Directorate will have an ongoing relationship;
- developing effective working relationships with key external stakeholders;
- acting as a sponsor for, and agent of, innovation, creative thinking and associated change;
- contributing effectively to the overall leadership of the organisation and to the achievement of its strategic and operational goals and objectives;

- contributing effective leadership in the context of organisation-wide risk identification, measurement and management processes;
- contributing effectively to the formulation and execution of organisational strategy and policy;
- contributing to, and leading on, the settling, promoting, embedding and maintenance of high standards of professionalism across the organisation;
- representing the CEA in various fora, as required;
- establishing and developing strong collaborative relationships across what is a multi-disciplinary organisation;
- managing multiple agendas, projects and tasks in an effective manner;
- managing competing priorities in an effective manner;
- contributing effectively to the CEA's ongoing compliance with its obligations as a public sector organisation; and
- performing any other duties assigned from time to time.

The above is not, and does not purport to be, a comprehensive list of all duties involved and consequently, the post holder may be required to perform other duties as appropriate to the post which may be assigned to him/her from time to time and to contribute to the development of the post while in office.

### **Essential Requirements**

The successful candidate will on or before the closing date of Monday, 14th February 2022 at 5.30pm:

- hold a third level and/or professional qualification in an area of relevance to the role;
- possess a minimum of 5 years' post qualification experience at an appropriate level of seniority in a relevant role;
- be capable of demonstrating an extensive knowledge and understanding of the corporate insolvency process;
- be capable of demonstrating significant achievement in his/her career to date;
- be capable of demonstrating a strong track record of mastering complex and wide-ranging briefs and assimilating large volumes of complex data and doing so quickly;
- be capable of demonstrating a strong track record in the exercise of sound professional judgement;
- be capable of demonstrating a strong track record in managing multiple agendas, projects, tasks and assignments in an effective manner;

- possess the ability to anticipate, and manage, change and to be innovative in a complex, fast moving environment;
- possess strong leadership capabilities and the ability to identify quickly strategic issues and risks arising on an ongoing basis;
- possess the capacity to operate effectively and credibly at senior levels, both within the CEA and externally;
- possess the confidence to represent the Authority externally on matters relevant to the Directorate;
- be capable of demonstrating an appreciation of the challenges associated with working in a multidisciplinary environment and the necessary flexibility to work effectively with all other relevant colleagues and stakeholders across sectoral, organisational and professional boundaries;
- possess excellent communications and interpersonal skills;
- have proven experience in leading and developing effective teams; and
- be capable of demonstrating an appreciation of the CEA's objectives, a keen awareness of the
  environment within which it will operate and of the particular obligations which stem from the CEA's
  status as a public body funded entirely by the Exchequer, and a strong commitment to serving the
  public interest.

Candidates will also be required to demonstrate the competencies set out in Appendix 2.

The competencies place particular emphasis on:

- the standards required for high performance at a senior level;
- the need for, and importance of, effective interaction with stakeholders;
- the need for a clear commitment to serving the public;
- the need for strong team and project management skills;
- balancing strategic focus over the longer term with delivering results; and
- the importance of resilience and self-development in terms of performance on an ongoing basis and over the longer term.

## Eligibility to compete and certain restrictions on eligibility

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#### **HEALTH & CHARACTER**

Candidates must be in good health, capable and competent of carrying out the work assigned to them, and they must also be of good character. Those under consideration for a position will be required to complete a health and character declaration. References will be sought. Successful candidates for these posts will require special security clearance and will require completion of a form for Garda vetting purposes (elaborated upon later herein). In the event of conflicts of interest, candidates may not be considered for certain posts. It is important that candidates list their previous civil or public service employment if they have availed of a voluntary redundancy or retirement scheme and/or are in receipt of an ill-health retirement pension. Failure to do so could lead to disciplinary action

#### CITIZENSHIP REQUIREMENTS

Eligible Candidates must:

- (a) A citizen of the European Economic Area. The EEA consists of the Member States of the European Union, Iceland, Liechtenstein and Norway; or
- **(b)** A citizen of the United Kingdom (UK); or
- (c) A citizen of Switzerland pursuant to the agreement between the EU and Switzerland on the free movement of persons; or
- (d) A non-EEA citizen who is a spouse or child of an EEA or Swiss citizen and has a stamp 4 visa; or
- **(e)** A person awarded international protection under the International Protection Act 2015 or any family member entitled to remain in the State as a result of family reunification and has a stamp 4 visa **or**
- **(f)** A non-EEA citizen who is a parent of a dependent child who is a citizen of, and resident in, an EEA member state or Switzerland and has a stamp 4 visa

To qualify candidates must be eligible by the date of any job offer.

## COLLECTIVE AGREEMENT: REDUNDANCY PAYMENTS TO PUBLIC SERVANTS

The Department of Public Expenditure and Reform letter dated 28th June 2012 to Personnel Officers introduced, with effect from 1st June 2012, a Collective Agreement which had been reached between the Department of Public Expenditure and Reform and the Public Services Committee of the ICTU in relation to ex-gratia Redundancy Payments to Public Servants. It is a condition of the Collective Agreement that persons availing of the agreement will not be eligible for re-employment in the Public Service by any Public Service body (as defined by the Financial Emergency Measures in the Public Interest Acts 2009 – 2011) for a period of 2 years from termination of the employment. People who availed of this scheme and who may be successful in this competition will have to prove their eligibility (expiry of period of non-eligibility)

#### INCENTIVISED SCHEME FOR EARLY RETIREMENT (ISER):

It is a condition of the Incentivised Scheme for Early Retirement (ISER) as set out in Department of Finance Circular 12/09 that retirees, under that Scheme, are not eligible to apply for another position in the same employment or the same sector. Therefore, such retirees may not apply for this position.

The Department of Health Circular 7/2010 dated 1 November 2010 introduced a Targeted Voluntary Early Retirement (VER) Scheme and Voluntary Redundancy Schemes (VRS). It is a condition of the VER scheme that persons availing of the scheme will not be eligible for re- employment in the public health sector or in the wider Public Service or in a body wholly or mainly funded from public moneys. The same prohibition on re-employment applies under the VRS, except that the prohibition is for a period of 7 years. People who availed of the VER scheme are not eligible to compete in this competition. People who availed of the VRS scheme and who may be successful in this competition will have to prove their eligibility (expiry of period of non-eligibility).

DEPARTMENT OF HEALTH AND CHILDREN CIRCULAR (7/2010):

# DEPARTMENT OF ENVIRONMENT, COMMUNITY & LOCAL GOVERNMENT (CIRCULAR LETTER LG(P) 06/2013)

The Department of Environment, Community & Local Government Circular Letter LG(P) 06/2013 introduced a Voluntary Redundancy Scheme for Local Authorities. In accordance with the terms of the *Collective Agreement: Redundancy Payments to Public Servants* dated 28 June 2012 as detailed above, it is a specific condition of that VER Scheme that persons will <u>not</u> be eligible for re-employment in any Public Service body [as defined by the Financial Emergency Measures in the Public Interest Acts 2009 – 2011 and the Public Service Pensions (Single Scheme and Other Provisions) Act 2012] for a period of 2 years from their date of departure under this Scheme. These conditions also apply in the case of engagement/employment on a contract for service basis (either as a contractor or as an employee of a contractor).

#### **DECLARATION:**

Applicants will be required to declare whether they have previously availed of a Public Service scheme of incentivised early retirement. Applicants will also be required to declare any entitlements to a Public Service pension benefit (in payment or preserved) from any other Public Service employment and/or where they have received a payment-in-lieu in respect of service in any Public Service employment

#### **APPLICATION PROCESS:**

To formally apply for the post, interested applicants will be required to submit by the closing date (Monday, 14<sup>th</sup> February 2022 5.30pm) via <u>executivesearch@hays.ie</u>:

- Cover Letter
- CV (Maximum of 3 pages)
- Completion of Competency Appraisal Form

Indicative timescale may include but is not limited to (subject to change):

- Preliminary Screening
- 1st Round Interview (Panel)
- Psychometric Testing
- 2<sup>nd</sup> Round Interview (Panel)

#### **GENERAL**

The appointment is to a permanent post in the Civil Service and is subject to the Civil Service Regulations Acts 1956 to 2005, the Public Service Management (Recruitment and Appointments) Act 2004 and any other Act for the time being in force relating to the Civil Service.

#### SALARY

The Salary Scale for the position is as follows: (rates effective from 1st July 2021):

PRINCIPAL CONDITIONS OF SERVICE

#### **PO Personal Pension Contribution (PPC)**

Scale - €90,702 €94,550 €98,370 €102,218 €105,463 €108, 829<sup>1</sup> €112,191<sup>2</sup>

This PPC rate will apply where the appointee is an existing civil or public servant appointed on or after 6<sup>th</sup> April 1995 and is required to make a personal pension contribution.

Long service increments may be payable after 3(LSI1) and 6(LSI2) years satisfactory service at the maximum of the scale.

#### **IMPORTANT NOTE**

Entry will be at the minimum of the scale and the rate of remuneration will not be subject to negotiation and may be adjusted from time to time in line with Government pay policy.

Different terms and conditions may apply if you are a currently serving civil or public servant.

Subject to satisfactory performance increments may be payable in line will current Government Policy.

You will agree that any overpayment of salary, allowances, or expenses will be repaid by you in accordance with Circular 07/2018: Recovery of Salary, Allowances, and Expenses Overpayments made to Staff Members/Former Staff Members/Pensioners.

#### **TENURE AND PROBATION**

The appointment is to a permanent position on a probationary contract with the CEA.

The probationary contract will be for a period of one year from the date specified on the contract. Notwithstanding this paragraph and the paragraph immediately following below, this will not preclude an extension of the probationary contract in appropriate circumstances.

During the period of your probationary contract, your performance will be subject to review by your supervisor(s) to determine whether you:

- i. Have performed in a satisfactory manner,
- ii. Have been satisfactory in general conduct, and
- iii. Are suitable from the point of view of health with particular regard to sick leave

Prior to the completion of the probationary contract a decision will be made as to whether or not you will be retained pursuant to Section 5A(2) Civil Service Regulation Acts 1956 – 2005. This decision will be based on your performance assessed against the criteria set out in (i) to (iii) above. The detail of the probationary process will be explained to you by the State Examinations Commission and you will be given a copy of the Department of Public Expenditure and Reform's guidelines on probation.

Notwithstanding the preceding paragraphs in this section, the probationary contract may be terminated at any time prior to the expiry of the term of the contract by either side in accordance with the Minimum Notice and Terms of Employment Acts, 1973 to 2005.

In certain circumstances your contract may be extended and your probation period suspended. The extension must be agreed by both parties.

- The probationary period stands suspended when an employee is absent due to Maternity or Adoptive Leave
- In relation to an employee absent on Parental Leave or Carers Leave, the employee may require probation to be suspended if the absence is not considered to be consistent with the continuation of the probation
- Probation may be suspended in cases such as absence due to a non-recurring illness

The employee may, in these circumstances, make an application to the employer for an extension to the contract period.

All appointees will serve a one-year probationary period. If an appointee who fails to satisfy the conditions of probation has been a serving civil servant immediately prior to their appointment from this competition, the issue of reversion will normally arise. In the event of reversion, an officer will return to a vacancy in their former grade in their former Department.

#### LOCATION

The position is based in the CEA's offices in Dublin and when absent from home and headquarters on official duty the appointee will be paid appropriate travelling expenses and subsistence allowances, subject to the normal civil service regulations.

#### **UNFAIR DISMISSALS ACTS 1977-2005**

The Unfair Dismissals Acts 1977-2005 will not apply to the termination of this employment by reason only of the expiry of this probationary contract without it being renewed.

#### **HOURS OF ATTENDANCE**

Hours of attendance will be fixed from time to time but will amount to not less than 43 hours 15 minutes gross per week. Candidates should note that hours of attendance may be adjusted from time to time in line with Government policy. The appointee will be required to work such additional hours from time to time as may be reasonable and necessary for the proper performance of his/her duties subject to the limits set down in the working time regulations. The rate of remuneration payable covers any extra attendance liability that may arise from time to time.

#### **ANNUAL LEAVE**

In addition to the usual public holidays, the annual leave allowance for this position is 30 days.

#### SICK LEAVE

Pay during properly certified sick absence, provided there is no evidence of permanent disability for service, will apply on a pro-rata basis, in accordance with the provisions of the sick leave circulars.

Officers who will be paying Class A rate of PRSI will be required to sign a mandate authorising the Department of Employment Affairs and Social Protection to pay any benefits due under the Social Welfare Acts directly to the employing Department/Organisation. Payment during illness will be subject to the officer making the necessary claims for social insurance benefit to the Department of Employment Affairs and Social Protection within the required time limits.

#### SUPERANNUATION AND RETIREMENT

The successful candidate will be offered the appropriate superannuation terms and conditions as prevailing in the Civil Service at the time of being offered an appointment. In general, an appointee who has never worked in the Public Service will be offered appointment based on membership of the Single Public Service Pension Scheme ("Single Scheme"). Full details of the Scheme are at www.singlepensionscheme.gov.ie

Where the appointee has worked in a pensionable (non-Single Scheme terms) public service job in the 26 weeks prior to appointment or is currently on a career break or special leave with/without pay different terms may apply. The pension entitlement of such appointees will be established in the context of their public service employment history.

Key provisions attaching to membership of the Single Scheme are as follows:

- Pensionable Age: The minimum age at which pension is payable is the same as the age of eligibility for the State Pension, currently 66.
- Retirement Age: Scheme members must retire on reaching the age of 70.
- Career average earnings are used to calculate benefits (a pension and lump sum amount accrue each year and are up-rated each year by reference to CPI).
- Post retirement pension increases are linked to CPI.

#### Pension Abatement

If the appointee has previously been employed in the Civil or Public Service and is in receipt of a pension from the Civil or Public Service or where a Civil/Public Service pension comes into payment during his/her re-employment that pension will be subject to abatement in accordance with Section 52 of the Public Service Pensions (Single Scheme and Other Provisions) Act 2012. Please note: In applying for this position, you are acknowledging that you understand that the abatement provisions, where relevant, will apply. It is not envisaged that the employing Department/Office will support an application for an abatement waiver in respect of appointments to this position.

appointee may be eligible.

service given by the appointee for the purpose of any future superannuation award for which the

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#### Department of Education and Skills Early Retirement Scheme for Teachers Circular 102/2007

The Department of Education and Skills introduced an Early Retirement Scheme for Teachers. It is a condition of the Early Retirement Scheme that with the exception of the situations set out in paragraphs 10.2 and 10.3 of the relevant circular documentation, and with those exceptions only, if a teacher accepts early retirement under Strands 1, 2 or 3 of this scheme and is subsequently employed in any capacity in any area of the public sector, payment of pension to that person under the scheme will immediately cease. Pension payments will, however, be resumed on the ceasing of such employment or on the person's 60th birthday, whichever is the later, but on resumption, the pension will be based on the person's actual reckonable service as a teacher (i.e., the added years previously granted will not be taken into account in the calculation of the pension payment).

#### III-Health-Retirement

Please note any person who previously retired on ill health grounds under the terms of a superannuation scheme are required to declare, at the initial application phase, that they are in receipt of such a pension to the organisation administering the recruitment competition.

Applicants will be required to attend the CMO's office to assess their ability to provide regular and effective service taking account of the condition which qualified them for IHR.

#### Appointment post III-health retirement from Civil Service

If successful in their application through the competition, the applicant should be aware of the following:

- 1. If deemed fit to provide regular and effective service and assigned to a post, their civil service ill-health pension ceases.
- 2. If the applicant subsequently fails to complete probation or decides to leave their assigned post, there can be no reversion to the civil service IHR status, nor reinstatement of the civil service IHR pension, that existed prior to the application nor is there an entitlement to same.
- 3. The applicant will become a member of the Single Public Service Pension Scheme (SPSPS) upon appointment if they have had a break in pensionable public/civil service of more than 26 weeks.

#### Appointment post III-health retirement from public service

 Where an individual has retired from a public service body his/her ill-health pension from that employment may be subject to review in accordance with the rules of ill-health retirement under that scheme.

- 2. If an applicant is successful, on appointment the applicant will be required to declare whether they are in receipt of a public service pension (ill-health or otherwise) and their public service pension may be subject to abatement.
- 3. The applicant will become a member of the Single Public Service Pension Scheme (SPSPS) upon appointment if they have had a break in pensionable public/civil service of more than 26 weeks.

Please note more detailed information in relation to pension implications for those in receipt of a civil or public service ill-health pension is available <u>via this link</u> or upon request to PAS.

#### PENSION ACCRUAL

A 40-year limit on total service that can be counted towards pension where a person has been a member of more than one pre-existing public service pension scheme (i.e., non-Single Scheme) as per the 2012 Act shall apply. This 40-year limit is provided for in the Public Service Pensions (Single Scheme and Other Provisions) Act 2012. This may have implications for any appointee who has acquired pension rights in a previous public service employment.

#### **ADDITIONAL SUPERANNUATION CONTRIBUTION**

This appointment is subject to the Additional Superannuation Contribution (ASC) in accordance with the Public Service Pay and Pensions Act 2017.

For further information in relation to the Single Public Service Pension Scheme please see the following website: www.singlepensionscheme.gov.ie

## SECRECY, CONFIDENTIALITY AND STANDARDS OF BEHAVIOUR: OFFICIAL SECRECY AND INTEGRITY

During the term of the probationary contract, an officer will be subject to the Provisions of the Official Secrets Act, 1963, as amended by the Freedom of Information Acts 1997, 2003 and 2014. The officer will agree not to disclose to third parties any confidential information either during or subsequent to the period of employment.

#### CIVIL SERVICE CODE OF STANDARDS AND BEHAVIOUR

The appointee will be subject to the Civil Service Code of Standards and Behaviour.

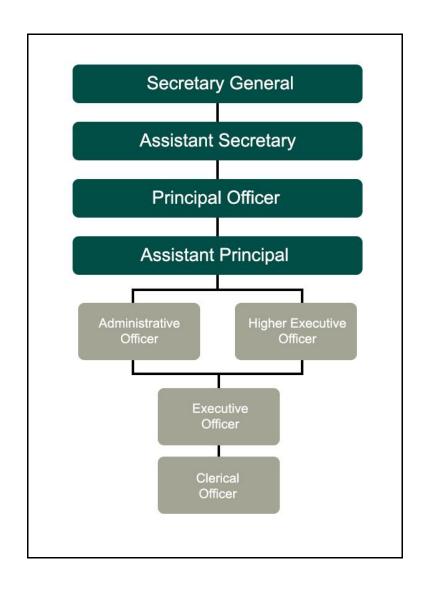
#### ETHICS IN PUBLIC OFFICE ACTS

The Ethics in Public Office Acts will apply, where appropriate, to this appointment.

#### **IMPORTANT NOTICE**

The foregoing represents the principal conditions of service and is not intended to be the comprehensive list of all terms and conditions of employment which will be set out in the employment contract to be agreed with the successful candidate.

# **Appendix 1: Civil Service General Grade Structure**



## Appendix 2: Civil Service Competency Framework Principal Officer Level Competencies Extract

Loads the team setting high standards, tealling any performance
Leads the team, setting high standards, tackling any performance problems & facilitating high performance
Facilitates an open exchange of ideas and fosters and atmosphere of open communication
Contributes to the shaping of Departmental / Government strategy and policy
Develops capability and capacity across the team through effective delegation
Develops a culture of learning & development, offering coaching and constructive / supportive feedback
Leads on preparing for and implementing significant change and reform
Anticipates and responds quickly to developments in the sector/ broader environment
Actively collaborates with other Departments, Organisations and Agencies
Identifies and focuses on core issues when dealing with complex information/ situations
Assembles facts, manipulates verbal and numerical information and thinks through issues logically
Sees the relationships between issues and quickly grasp the high level and socio-political implications
Identifies coherent solutions to complex issues
Takes action, making decisions in a timely manner and having the courage to see them through
Makes sound and well informed decisions, understanding their impact and implications
Strives to effectively balances the sectoral issues, political elements and the citizen impact in all decisions

Management & Delivery of Results	Initiates and takes personal responsibility for delivering results/ services in own area
	Balances strategy and operational detail to meet business needs
	Manages multiple agendas and tasks and reallocates resources to manage changes in focus
	Makes optimum use of resources and implements performance measures to deliver on objectives
	Ensures the optimal use of ICT and new delivery models
	Critically reviews projects and activities to ensure their effectiveness and that they meet Organisational requirements
	Instils the importance of efficiencies, value for money and meeting corporate governance requirements
	Ensures team are focused and act on Business plans priorities, even when faced with pressure
Building Relationships & Communication	Speaks and writes in a clear, articulate and impactful manner
	Actively listens, seeking to understand the perspective and position of others
	Manages and resolves conflicts / disagreements in a positive & constructive manner
	Works effectively within the political process, recognising & managing tensions arising from different stakeholders' perspectives.
	Persuades others; builds consensus, gains co-operation from others to obtain information and accomplish goals
	Proactively engages with colleagues at all levels of the organisation and across other Departments// Organisations and builds strong professional networks
	Makes opinions known when s/he feels it is right to do so
Specialist Knowledge, Expertise & Self Development	Develops and maintains skills and expertise across a number of areas that are relevant to his/her field and recognised by people internal and external to the Department/ Organisation
	Keeps up to date with key departmental, sectoral, national and international policies and economic, political and social trends that affect the role

	Maintains a strong focus on self-development, seeking feedback and opportunities for growth
Drive & Commitment to	Consistently strives to perform at a high level
Public Service Values	Demonstrates personal commitment to the role, maintaining determination and persistence while maintain maintains a sense of balance and perspective in relation to work issues
	Contributes positively to the corporate agenda
	Is personally trustworthy, honest and respectful, delivering on promises and commitments
	Ensures the citizen is at the heart of all services provided
	Is resilient, maintaining composure even in adverse or challenging situations
	Promotes a culture that fosters the highest standards of ethics and integrity

## **Appendix 3: Applicant Privacy Statement**

#### Department of Enterprise, Trade and Employment

#### **Purpose of Processing**

The Department of Enterprise, Trade and Employment (DETE) is conducting recruitment and selection processes to fill vacancies on behalf of the Corporate Enforcement Authority. When applying for these competitions applicants are asked to submit a range of documents, e.g., application form, CV and/or cover letter, etc.

This Applicant Privacy Statement had been produced for applicants applying for positions in the Corporate Enforcement Authority and further information on the General Data Protection Regulation "GDPR" and Subject Assess Requests can be found at <a href="https://enterprise.gov.ie/en/Data-Protection/">https://enterprise.gov.ie/en/Data-Protection/</a>.

There is a legal basis for processing this data and the data is also shared as necessary, see below.

Recipient/Shared With	Reason
DETE HR Management Unit	Certain information is required for inclusion in the contract for
	successful applicants.
Selection Board	Information required for shortlisting and interview process.
Hays Recruitment Agency	Gathering, processing and storing applications, acknowledging
	responses and corresponding with applicants.

DETE is fully aware of GDPR requirements and all related data is managed in compliance with the relevant regulations. For successful candidates, their application and any related paperwork will be retained for the duration of their employment and as necessary for a period thereafter. For unsuccessful candidates, applications and correspondence shall be retained for 6 months, or where panels are formed, 6 months after the panel expires. Where any data is retained, this will be managed by the HR Management Unit. All data will be treated in confidence and all appropriate security measures will be applied.

#### **Applicants Entitlements**

DETE on behalf of the Corporate Enforcement Authority recognises that applicants have entitlements and these are outlined below.

#### **Access**

Applicants can request and receive access to their data at any time and can request and receive a copy of this data, in electronic/transferable format.

#### **Erasure**

Applicants can request the data held be erased.

#### Rectification

Applicants can have any incorrect information corrected.

#### Objection

Applications can object to this information being processed

#### Complain

Applicants can make a complaint to our internal Data Protection Officer "DPO" (contact details below) and/or make a complaint to the relevant authority – Data Protection Commission in Ireland

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#### **DETE DPO Contact Details**

Email: <u>dataprotection@enterprise.gov.ie</u>

or

Data Protection Officer
Department of Enterprise, Trade and Employment
23 Kildare Street
Dublin 2
D02 TD30

#### For Further information on Data Protection:

The website of the Data Protection Commissioner – <a href="www.dataprotection.ie">www.dataprotection.ie</a> or Make contact with the Office of the Data Protection Commissioner by phone on Telephone 1890 252231 or by email on info@dataprotection.ie.